



1FW 3624 #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Thomas G. Woolston Art Unit : 3624
Serial No. : 09/557,617 Examiner : Sandra S. Snapp
Filed : April 25, 2000
Title : APPARATUS AND METHOD FOR REMOTE SELLERS TO INITIATE
AUCTION INSTANCES AT AN AUCTION FACILITATOR SYSTEM AND
RECEIVE OR MAKE PAYMENT FOR ITEMS BOUGHT AND SOLD WITH
THE SYSTEM BY BOOK ENTRY ACCOUNTING BETWEEN PARTICIPANT
ACCOUNTS ACCESSIBLE TO THE SYSTEM

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed.

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Applicant : Thomas G. Woolston
Serial No. : 09/557,617
Filed : April 25, 2000
Page : 2 of 2

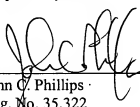
Attorney's Docket No.: 13466-002011

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Respectfully submitted,

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(Modified)

 U.S. Department of Commerce
Patent and Trademark Office

 Attorney's Docket No.
13466-002011

 Application No.
09/557,617

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

 Applicant
Thomas G. Woolston

 Filing Date
April 25, 2000

 Group Art Unit
3624

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	Computer Museum Holds an Internet Auction, OPEN SYSTEMS TODAY (1994)
	AR	http://search.sothebys.com/about/corporate/as.corphistory.html
	AS	Declaration of Choy Heng-Wah Regarding BidBroker Software – an Electronic Auction System filed in <i>MercExchange, LLC v. eBayInc., et al.</i> , Case No. 2:01-CV-736 (E.D. Va) dated March 8, 2002
	AT	CHOY HENG-WAH, <u>Re: Electronic Stamp Auction</u> , rec.collecting.stamps (June 17, 1994)
	AU	Transcript from the videotaped deposition of Perry Blankley taken on June 28, 2002

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitution Form PTO-1449 (Mandatory)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13466-002011	Application No. 09/557,617
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Thomas G. Woolston	
		Filing Date April 25, 2000	Group Art Unit 3624

(37 CFR §1.98(b))

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AV	Transcript from the videotaped deposition of Jay Mintz taken on June 27, 2002
	AW	<u>Acorn Collectors Service Network</u> advertisement
	AX	<u>Coin Trading to Begin on Acorn Network</u> , COIN WORLD (June 4, 1986)
	AY	<u>http://www.webopedia.com/TERM/d/daemon.html</u>
	AZ	<u>http://computer.howstuffworks.com/router.htm/printable</u>
	AAA	WAN AND HENG-WAH, <u>Electronic Stamp Auction</u> , rec.collecting.stamps (June 12-15, 1994)
	ABB	The Computelists (January 1993), Vol. 4, No. 1
	ACC	BANK, <u>Coming to the Cyberworld: Virtual Cash</u> , BUFFALO NEWS (March 15, 1995)
	ADD	<u>The Quick Tour: A Summary of Approaches</u> , ADVENTURE HOLDINGS INC. (January 24, 1995), Vol. 95, No. 1
	AEE	<u>The Computer Museum Brings Auction Block to Cyberspace in First Internet Auction</u> , BUSINESS WIRE (March 14, 1994)
	AFF	<u>First-Ever Internet Auction Produces Results for the Computer Museum</u> , BUSINESS WIRE (April 28, 1994)
	AGG	LUCIANOVIC, <u>Stamp Auction Via Internet?</u> , rec.collecting.stamps (April 21, 1995)
	AHH	WILSON, <u>Gallery Hopping On-Line</u> , INTERNATIONAL HERALD TRIBUNE (October 29, 1994)
	AII	J. KING, <u>Digitized Art Gallery Paints Retail's Future</u> , COMPUTERWORLD (November 28, 1994)
	AJJ	TRAUB, <u>MTG: All Editions Rare and Uncommon Auction</u> , rec.games.deckmaster (May 9, 1994)
	AKK	TRAUB, <u>M:tG Auction (winding down) - Updated Number 77</u> , rec.games.deckmaster (January 24, 1995)
	ALL	LEHMAN, <u>Lego Sale: Auzilla is here</u> , rec.toys.lego (February 26, 1995)
	AMM	YIN, <u>2 Dark Boxes for auction on WWW.</u> , rec.games.trading-cards.marketplace (May 29, 1995)
	ANN	YIN, <u>An Automated Auction Engine for the WWW</u> , comp.infosystems.www.announce (June 6, 1995)
	AOO	<u>http://money.howstuffworks.com/credit-card.htm/printable</u>
	APP	LEWIS, <u>Bits & Bytes</u> , BUSINESS WEEK (December 5, 1994)
	AQQ	Examiner's Answer, U.S. Patent Application Serial No. 08/427,820, July 19, 1999
	ARR	Office Action Summary, U.S. Patent Application Serial No. 09/253,014, December 8, 2000
	ASS	Amendment, U.S. Patent Application Serial No. 09/253,014, February 2, 2001
	ATT	Examiner Interview Summary Record, U.S. Patent Application Serial No. 09/253,014, January 23, 2001
	AUU	Decision on Appeal, U.S. Patent Application Serial No. 09/253,014, February 4, 2004

Examiner Signature	Date Considered
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U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
13466-002011Application No.
09/557,617**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

Applicant
Thomas G. WoolstonFiling Date
April 25, 2000Group Art Unit
3624**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AVV	Amendment, U.S. Patent Application Serial No. 09/253,021, July 28, 2000
	AWW	Order and Opinion, MercExchange LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, October 21, 2002
	AXX	Patent Application "Consignment Nodes", U.S. Patent Application Serial No. 08/427,820, April 26, 1995
	AYY	Patent Application "Consignment Nodes", U.S. Patent Application Serial No. 08/554,704, November 7, 1995
	AZZ	"Consignment Nodes" (red-line)
	AAAA	Order and Opinion, MercExchange, LLC v. eBay, Inc., et al., civil Action No. 2:01cv736, October 18, 2002
	ABBB	Order and Opinion, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, January 13, 2003
	ACCC	Order and Opinion, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, April 3, 2003
	ADDD	Orders Granting Reexamination of (1) U.S. Patent No. 6,085,176 and (2) U.S. Patent No. 5,845,265; PAIR printout for U.S. Patent 6,202,051
	AEEE	Office Action, U.S. Patent Application Serial No. 09/253,021, June 7, 2000
	AFFF	Preliminary Amendment, "Method and Apparatus for Facilitating Internet Commerce Through Internetworked Markets and Auctions", February 19, 1999
	AGGG	Examiner Interview Summary Record, July 26, 2000
	AHHH	Decision on Appeal, U.S. Patent Application Serial No. 08/427,820, September 29, 2003
	AIII	Office Action, U.S. Patent Application Serial No. 09/166,779, July 5, 2000
	AJJJ	Office Action, U.S. Patent Application Serial No. 09/166,779, June 15, 2001
	AKKK	Bazeley, "UBid marketing itself as legally safer than eBay", Mercury News, June 12, 2004
	ALLL	Excerpts from the trial proceedings in MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, April 24, 2003
	AMMM	Order and Opinion, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, August 6, 2003
	ANNN	Kupfer, Software 'Agents' Will Make Life Easy, FORTUNE, January 24, 1994, pp. 72-73
	AOOO	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 18B, Pages 3167-3234, May 16, 2003
	APPP	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 7B, Pages 1311-1416, May 1, 2003
	AQQQ	Electronic Market Newsletter, October 1993, No. 9/10, pp. 1-36
	ARRR	Amendment, U.S. Patent Application Serial No. 08/554,704, December 12, 1997
	ASSS	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 13A, Pages 2326-2436, May 9, 2003

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Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Thomas G. Woolston	
		Filing Date April 25, 2000	Group Art Unit 3624

(37 CFR §1.98(b))

Other Documents (include Author, Title, Date, and Place of Publication)

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	ATTT	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 16A, Pages 2878-2972, May 14, 2003
	AUUU	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 2B, Pages 300-428, April 24, 2003
	AVVV	Office Action, U.S. Patent Application Serial No. 09/264, 573, August 3, 1999
	AWWW	Amendment, U.S. Patent Application Serial No. 09/264,573, September 14, 1999
	AXXX	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 2A, Pages 214-299, April 24, 2003
	AYYY	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 3A, Pages 428-529, April 25, 2003
	AZZZ	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 4A, Pages 620-745, April 28, 2003
	AAAAA	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 5A, Pages 863-965, April 29, 2003
	ABBBB	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 15B, Pages 2779-2877, May 13, 2003
	ACCCC	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 19A, Pages 3235-3339, May 19, 2003
	ADDDD	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 19B, Pages 3340-3435, May 19, 2003
	AEEEE	Trial Proceedings, MercExchange, LLC v. eBay, Inc., et al., Civil Action No. 2:01cv736, Volume 21A, Pages 3487-3569, May 21, 2003
	AFFFF	Appellant's Brief under 37 CFR 1.192, U.S. Patent Application Serial No. 08/427,820, March 12, 1999
	AGGGG	

Examiner Signature /Gregory Johnson/ (12/18/2010)	Date Considered
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